

## REMARKS

Claims 1-154 are cancelled.

Claims 155-182 are in the application.

Claims 155, 156, 160, 162, and 163-173 are amended.

Claims 174-182 are new.

## INTERVIEW SUMMARY

Applicant gratefully acknowledges the courtesy extended by Examiner Ma and SPE Vivek Srivastava in conducting a telephonic interview on August 4, 2005. During the interview, the changes to claim 155, as well as corresponding changes to claims 156-173 were discussed.

It was agreed that the proposed amendments to claim 155 would overcome the 35 U.S.C. § 112 issue(s), and that addition of the phrase “wherein said data representing characteristics are not generated by the user” would overcome the Hey reference.

Examiner Ma and Srivastava noted that a followup search would be required, and that the proposed amendments would raise new issues.

Applicant therefore proposed the filing of an RCE to reopen prosecution.

## FORMAL REJECTIONS

Claim 155 is rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement. Claim 155 has been amended, without prejudice or disclaimer, to cancel the language “in a memory”, the objected-to phrase.

Claims 162 and 168 are also amended to broaden their scope to eliminate the memory or its counterpart as an essential element of the claims.

The Examiner noted that certain claims also did not apparently gain benefit of the earliest claimed priority date. Applicants have amended claims 156, 160 and 167, without prejudice or disclaimer, to remove the noted issues.

Without limiting the scope of the invention or claims, and as exemplary and not intended to represent the sole support for or an interpretation of, the claim language, applicants note that Claims 167-173 are supported at least by the following text contained in 07/812,805:

The present invention also allows encryption and decryption of material, much as the Videocipher series systems from General Instruments, and the fractal enciphering methods of EMC<sup>2</sup> and Iterated Systems, Inc. The present invention, however, is not limited to broadcasts, and instead could implement a system for both broadcasts and prerecorded materials. In the case of copying from one tape to another, such a system could not only provide the herein mentioned library functions of the present invention, it could also be used to aid in copy protection, serial copy management, and a **pay-per-view royalty collection system**. Such a system could be implemented by way of a **telecommunication function incorporated in the device, shown as block 1808 of FIG. 18**, or an electronic tag which records user activity relating to a tape or the like. A royalty fee, etc., could automatically be registered to the machine either by telecommunication or registry with the electronic tag, allowing new viewer options to be provided as compared with present VCR's. For example, an encrypted tape or other source material (so that special playback equipment need be used, and a usage registered), used with this device, could be decrypted by a decryption key available by telecommunication with a communication center, remote from the user, in a decryption unit, shown schematically as the decrypt unit 1806a of FIG. 18. During acquisition of the electronic key, a VCR device of an embodiment of the present invention would indicate its identity, and an account is charged a fee for such use. Such a system could also be used for controlled access software, for example for a computer, wherein a remote account is charged for use of the software. Such a system differs from the normal "key" or "dongle" because it requires on-line access for an encryption key, which may offer different levels of use. It also differs from a call-in registration, because of the automatic nature of the telecommunication. This presently described system differs from normal pay-per-view techniques because it allows, in certain instances, the user to schedule the viewing. Finally, with an encryption function implemented in the VCR, the device allows a user to create and distribute custom "software" or program material. In addition, the present controller could then act as the "telecommunication center" and authorize decryption of the material. The present invention is advantageous in this application because it provides an advanced user interface for creating a program (i.e. a sequence of instructions), and it assists the user in selecting from the available programs, without having presented the user with a detailed description of the programs, i.e., the user may select the choice based on characteristics rather than literal description. In the case of encrypted program source material, it is particularly advantageous if the characterization of the program occurs without charging the account of the user for such characterization, and only charging the account if the program is viewed by the user. The user may make a viewing decision based on the recommendation of the interface system, or may review the decision based on the title or description of the program.

## ART REJECTIONS

Claims 155 and 157-158 are rejected under 35 U.S.C. 102(e) in view of Hey. Hey relates to a collaborative filter (social filter) system in which user preference correlations are drawn agnostic to the content or objective characteristics of the media. That is, a user inputs a feedback scalar representing a degree of like of previously consumed media. Based on a set of scores, the user is grouped with other users with similar scalars for the same consumed media. Once grouped with those of presumably similar likes, the user may then be presented with new media for which other members of the group display high scalar ratings.

Therefore, according to Hey, the “data representing characteristics of media selected by the user” is generated, at least in part, by the user. This is excluded by the present claim language which states “wherein said data representing characteristics are not generated by the user.” Thus, claims 155, 162, 168 (“characteristics of available media items generated independently of the user”), 177 (“wherein the data representing characteristics of media previously selected by the respective user are not generated by that user”), and 179 (“the at least one parameter not being generated by that respective user”) are clearly distinguished from Hey.

Claim 182 is distinguished from Hey by the limitation that the characteristics are “content related”. Hey does not characterize content at all.

Claims 156 and 160 are rejected under 35 U.S.C. § 102(b) as being anticipated by Strubbe. Strubbe is antedated by the earliest priority claim. Note that claims 156 and 160 are amended to address the Examiner’s concerns regarding entitlement to priority.

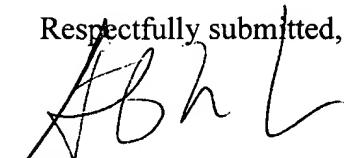
Claims 159 and 161-166 are rejected under 35 U.S.C. § 103(a) as being rendered obvious over Hey in view of Hallenbeck. Hey, the primary reference, is distinguished as above.

Claims 167-173 are rejected under 35 U.S.C. § 103(a) as being rendered obvious over Strubbe in view of Lett et al. Strubbe and Lett et al. are each antedated by the earliest priority claim.

## CONCLUSION

It is respectfully submitted that the application is in form for allowance.

Respectfully submitted,



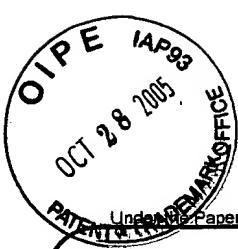
Steven M. Hoffberg  
Reg. No. 33,511

MILDE & HOFFBERG, LLP  
10 Bank Street - Suite 460  
White Plains, NY 10606  
(914) 949-3100

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**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
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Alexandria, VA 22313-1450

Application Number	09/497071
Filing Date	02/02/2000
First Named Inventor	Linda I. Hoffberg-Borghesani
Art Unit	2617
Examiner Name	Johnny Ma
Attorney Docket Number	LIH-14

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
ii.  Other \_\_\_\_\_

b.  Enclosed

i. <input type="checkbox"/> Amendment/Reply	iii. <input type="checkbox"/> Information Disclosure Statement (IDS)
ii. <input type="checkbox"/> Affidavit(s)/ Declaration(s)	iv. <input type="checkbox"/> Other _____

**2. Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 50-0427. I have enclosed a duplicate copy of this sheet.

a.  RCE fee required under 37 CFR 1.17(e)  
 Extension of time fee (37 CFR 1.136 and 1.17)  
 Other \_\_\_\_\_

b.  Check in the amount of \$ 910.00 enclosed  
 Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature		Date	09/16/2005
Name (Print/Type)	Steven M. Hoffberg	Registration No.	33,511

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	09/16/2005
Name (Print/Type)	Steven M. Hoffberg	Registration No.	33,511

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

DATE MAILED:

NOTICE OF IMPROPER REQUEST FOR CONTINUED EXAMINATION (RCE)

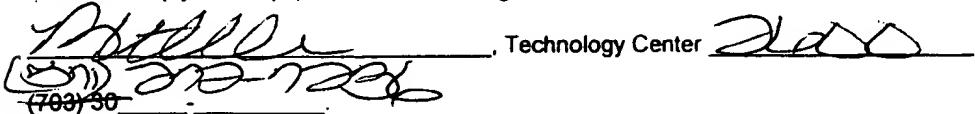
The request for continued examination (RCE) under 37 CFR 1.114 filed on 9-19-05 is improper for reason(s) indicated below:

- 1. Continued examination under 37 CFR 1.114 does not apply to an application for a design patent. Applicant may wish to consider filing a continuing application under 37 CFR 1.53(b) or a CPA under 37 CFR 1.53(d). An RCE cannot be treated as a CPA.
- 2. Continued examination under 37 CFR 1.114 does not apply to an application that was filed before June 8, 1995. Applicant may wish to consider filing a continuing application under 37 CFR 1.53(b).
- 3. Continued examination under 37 CFR 1.114 does not apply to an application unless prosecution in the application is closed. If the RCE was accompanied by a reply to a non-final Office action, the reply will be entered and considered under 37 CFR 1.111. If the RCE was not accompanied by a reply, the time period set forth in the last Office action continues to run from the mailing date of that action.
- 4. The request was not filed before payment of the issue fee, and no petition under 37 CFR 1.313 was granted. If this application has not yet issued as a patent, applicant may wish to consider filing either a petition under 37 CFR 1.313 to withdraw this application from issue, or a continuing application under 37 CFR 1.53(b).
- 5. The request was not filed before abandonment of the application. The application was abandoned, or proceedings terminated on \_\_\_\_\_. Applicant may wish to consider filing a petition under 37 CFR 1.137 to revive this abandoned application.
- 6. The request was not accompanied by the fee set forth in 37 CFR 1.17(e) as required by 37 CFR 1.114. Since the application is not under appeal, the time period set forth in the final Office action or notice of allowance continues to run from the mailing date of that action or notice.
- 7. The request was not accompanied by a submission as required by 37 CFR 1.114. Since the application is not under appeal, the time period set forth in the final Office action or notice of allowance continues to run from the mailing date of that action or notice.

Note: A continued prosecution application (CPA) under 37 CFR 1.53(d) cannot be filed in a utility or plant application. A CPA filed in a utility or plant application that has a filing date on or after June 8, 1995 will be treated as an RCE under 37 CFR 1.114. The request for a CPA in the instant application, however, has been treated as an improper RCE for the reason(s) indicated above.

**A copy of this notice MUST be returned with any reply.**

Direct the reply and any questions concerning this notice to:

  
Technology Center   
(703) 30 \_\_\_\_\_

